



Adaptable microfluidic system for single-cell pathogen classification and antimicrobial susceptibility testing

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Infectious diseases caused by bacterial pathogens remain one of the most common causes of morbidity and mortality worldwide. Rapid microbiological analysis is required for prompt treatment of bacterial infections and to facilitate antibiotic stewardship. This study reports an adaptable microfluidic system for rapid pathogen classification and antimicrobial susceptibility testing (AST) at the single-cell level. By incorporating tunable microfluidic valves along with real-time optical detection, bacteria can be trapped and classified according to their physical shape and size for pathogen classification. By monitoring their growth in the presence of antibiotics at the single-cell level, antimicrobial susceptibility of the bacteria can be determined in as little as 30 minutes compared with days required for standard procedures. The microfluidic system is able to detect bacterial pathogens in urine, blood cultures, and whole blood and can analyze polymicrobial samples. We pilot a study of 25 clinical urine samples to demonstrate the clinical applicability of the microfluidic system. The platform demonstrated a sensitivity of 100% and specificity of 83.33% for pathogen classification and achieved 100% concordance for AST.

infection | diagnostics | antimicrobial susceptibility testing | single-cell analysis | microfluidics

Bacterial infection is a leading cause of morbidity and mortality and accounts for over \$20 billion in healthcare costs in the United States each year (1–3). Current diagnostic methods for bacterial infection typically involve transport of patient samples to a clinical microbiology laboratory where a bacterial culture procedure, such as agar plate, blood tube, or sputum culture, is performed to test for the presence of bacterial pathogens. Morphological, biochemical, and molecular assays are used to identify the species and perform antimicrobial susceptibility testing (AST) (4–6). These culture-based assays typically require 3–5 d. Without microbiological analysis, physicians often resort to prescribing broad-spectrum antibiotics based on the worst-case assumption of the most virulent bacteria (7, 8). This practice results in improper and unnecessary treatment, disruption of the patients' microbial makeup, poor clinical outcomes, and the emergence of multidrug-resistant pathogens (9). Rapid microbiological analysis techniques are essential to properly manage infectious diseases and combat multidrug-resistant pathogens (10–12).

Phenotypic culture is the current standard in clinical microbiology. Colony morphology (form, elevation, and appearance), gram stain, and biochemical phenotyping are culture-based techniques to classify and identify the bacteria. Molecular approaches, such as multiplex PCR and mass spectroscopy, can be performed with isolated bacteria to identify strains (13–17). To determine the antimicrobial resistance of the pathogen, the growth of the pathogen in the presence of antibiotics is interpreted and reported for therapeutic management of the patient (18–21). Recently, biosensor platforms, including optical, electrochemical, loop-mediated isothermal amplification, and bio-physical biosensors, have been developed to detect bacterial

growth for AST (22–33). To improve sensitivity and accelerate AST, microfluidic approaches, such as digital microfluidics, agarose microchannels, electrokinetics, and microfluidic confinement, have been demonstrated for performing AST at the single-cell level (34–41). In particular, physical confinement of the pathogen allows rapid AST on a time scale comparable to the doubling time of the bacteria (40, 41). Nevertheless, these techniques neither provide information about the bacterial species nor distinguish polymicrobial samples (42). Furthermore, most existing techniques require cultured isolates and are optimized based on a small panel of pathogens, thereby limiting their general applicability for infectious disease diagnostics.

In this study, we develop an adaptable microfluidic system that determines the presence of bacterial pathogens, classifies the species based on their physical features, and performs phenotypic AST at the single-cell level. In particular, an adaptable microchannel with tunable pneumatic valves physically traps bacteria and classifies the bacterial species according to their physical size and shape in as little as 3 min. It can guide the selection of appropriate antibiotic candidates in the subsequent susceptibility testing. By monitoring growth of individual bacteria in the presence of an antibiotic, antimicrobial resistance can be determined rapidly. We evaluate the performance of the adaptable

Significance

Drug-resistant pathogens are one of the major global health risks. However, conventional antimicrobial susceptibility testing (AST) approaches, which typically rely on overnight culture to isolate bacteria, require 3–5 days. Despite rapid pathogen identification techniques having been developed, the ability to rapidly determine bacteria susceptibility represents an unmet need in clinical microbiology. Existing rapid AST techniques are often designed based on a small panel of bacteria and the system neither provides information about the bacterial species nor distinguishes polymicrobial samples. By incorporating an adaptable microfluidic design, we demonstrate a phenotypic AST system that rapidly determines the existence of bacteria, classifies major classes of bacteria, detects polymicrobial samples, and identifies antimicrobial susceptibility directly from clinical samples at the single-cell level.

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experiment without antibiotics, both bacteria grew exponentially in different regions of the microchannels (SI Appendix, Fig. S6). Examination of the data revealed that the growth rates were different between the two species. These results support the use of single-cell analysis for identifying samples with multiple species.

We further evaluated the capability of the microfluidic system for identifying samples with multiple strains of the same species, which is challenging for genotypic diagnosis. Two strains of *E. coli* (EC137 and EC136 at a 10:1 ratio) with different antibiotic resistance profiles were tested. EC137 is susceptible to ampicillin while EC136 is resistant to ampicillin. Both strains were trapped in the microchannels at 180 kPa pressure with no spatial separation in the microchannel (Fig. 3F). The bacteria strains displayed similar growth rates and were indistinguishable in the control experiments. Nevertheless, examining the antibiotic responses revealed distinct behaviors between the bacteria (Fig. 3G–I). In the antibiotic experiment, EC136 grew exponentially with ampicillin in the medium, whereas EC137 was lysed by ampicillin. Fig. 3J illustrates the growth curves of EC136 and EC137 in the same experiment. Since EC137 had a higher initial concentration (10-fold over EC136, Fig. 3I), this result demonstrated detecting a resistant strain that outgrows a dominating strain over time in the presence of antibiotics (Fig. 3J).

Direct AST with Clinical Samples. We next evaluated the ability of our device for testing clinical samples, including blood culture (bottle), urine, and whole blood. Single-cell AST was implemented for 10 blood cultures and six urine samples that were cultured positive for the presence of *E. coli*. Blood cultures and urine samples were mixed with Mueller Hinton (MH) broth at a

1:10 ratio and directly loaded in the microfluidic system. Additionally, clinical isolates of *E. coli* were spiked into human whole blood and a pretreatment step was performed to isolate bacteria in the sample before the loading process (SI Appendix, Figs. S7 and S8). AST results were determined within 60 min by directly observing the growth of the bacteria in the microfluidic system (Fig. 4A). The detailed growth for bacteria in blood cultures was monitored and analyzed at the single-cell level. Among the 10 blood cultures, one (sample 6) was resistant to ciprofloxacin and the others were susceptible (Fig. 4B). The growth rate of the resistant bacteria under antibiotic treatment was indistinguishable from the control (i.e., no antibiotic). Similarly, the bacteria in all six urine samples were ciprofloxacin sensitive (Fig. 4C). The results were verified by broth dilution with overnight culture (SI Appendix, Fig. S9).

The *E. coli*-positive samples allow us to evaluate the influence of the sample variability on the robustness of the system. We studied the effect of the bacterial characteristic length on the trapping process. In our SEM characterization, the width of the *E. coli* strains has a SD of ~ 40 nm. The pneumatic pressure to trap these *E. coli* strains was 170 ± 17 kPa (mean \pm SD, $n = 10$ independent experiments) (SI Appendix, Fig. S10A and B). This result indicates that the trapping pressure is consistent for the same strain. We also examined the effect of the source of *E. coli* (i.e., blood or urine) and culture conditions (medium, blood and urine). Comparison of the results from blood, urine, and MH broth suggests the culture condition does not have a significant effect on the trapping pressure for the bacteria (SI Appendix, Fig. S10C). These results collectively support direct AST of clinical samples with the adaptable microfluidic system.

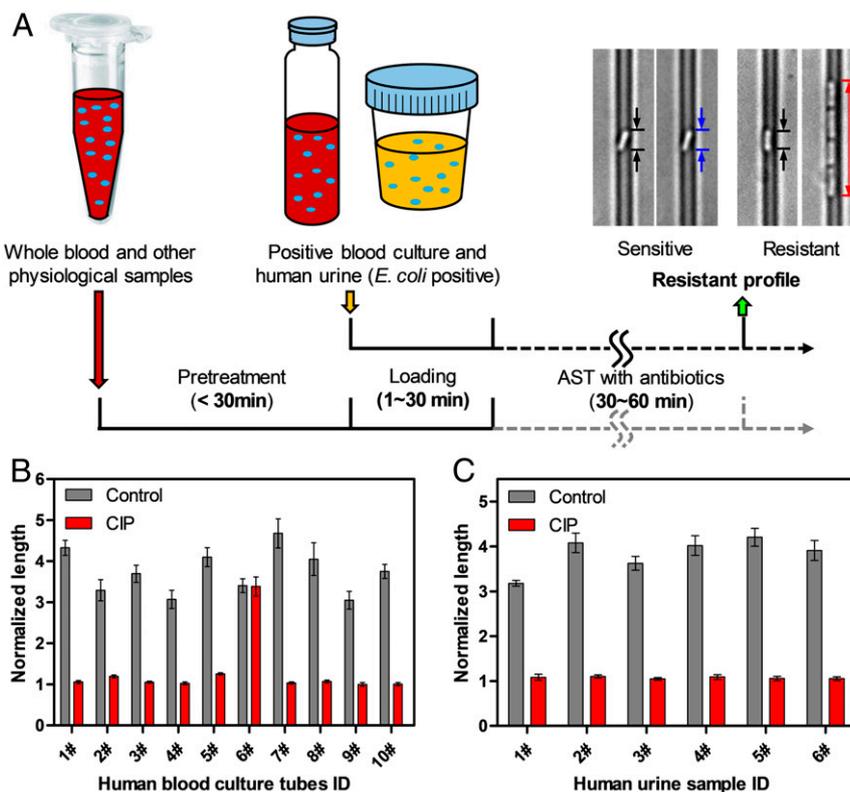


Fig. 4. Single-cell AST of clinical samples with the adaptable microfluidic device. (A) Single-cell AST procedure for clinical samples. Blood cultures and urine can be mixed with culture medium at a 1:10 ratio with and without antibiotic and loaded directly into the adaptable microfluidic device for single-cell AST. The loading time lies between 1 and 30 min, depending on the bacteria concentration. For whole blood and other physiological samples with complex matrices, sample pretreatment is performed before microchannel loading (as described in *Materials and Methods*). (B) Direct AST of 10 positive human blood cultures. Only sample 6 is resistant to ciprofloxacin as confirmed by the clinical microbiology results. (C) Direct AST of human urine samples at 60 min. All six samples are susceptible to ciprofloxacin as confirmed by broth dilution.

(mean \pm SD), respectively (*SI Appendix, Fig. S12E*). These rod-shaped bacteria were classified into *Pseudomonas*-like, *Klebsiella*-like, and *E. coli*-like groups based on threshold values of 90 kPa and 150 kPa (*SI Appendix, Fig. S12E*, blue and cyan dotted lines).

In this protocol, the sample was reported as polymicrobial if multiple bacterial populations were identified. The adaptable microfluidic system determines polymicrobial samples by size, shape, growth rate, and antimicrobial susceptibility (*Fig. 3*). If the bacteria have similar size, shape, growth rate, and antimicrobial susceptibility, the microfluidic system will not be able to discriminate them. Flora and contamination were not considered if the species had a low concentration. For positive samples, the pathogens were cultured with and without ciprofloxacin. To avoid false negatives due to pathogens with a long doubling time, the bacteria were cultured for up to 2 h and the growth rates were compared between samples with and without antibiotic. The pathogen was classified as susceptible when the growth rate was significantly inhibited (i.e., less than half of the control groups) or resistant when the growth rate was similar to the no antibiotic control (i.e., more than half of the control).

In this pilot study, 25 clinical urine samples were tested using the adaptable microfluidic system. The presence of bacteria and the minimum trapping pressure were recorded for each sample (*SI Appendix, Table S1*). Using the adaptable microfluidic system, 19 samples were identified with a single species of bacteria and sample 3 was polymicrobial. Samples 7, 8, 10, 20, and 24 were negative. The samples were independently tested and identified in the clinical microbiology laboratory at Penn State Milton S. Hershey Medical Center. Based on the clinical report, there were four negative samples (samples 7, 8, 20, and 24), 19 monomicrobial samples, one polymicrobial sample (sample 3), and one sample with mixed flora (*SI Appendix, Table S2*). The minimum trapping pressure was compared with the characteristic length of the bacteria (*Fig. 6A*). In agreement with our calibration, the results revealed an inverse relationship and demonstrated a separation resolution below 100 nm. For instance, *Klebsiella* strains (0.56 μm) could be separated from *E. coli* (0.47 μm) despite the small difference in size (<100 nm).

For pathogen classification, most of the samples, including the polymicrobial sample, were correctly classified based on their morphology and the trapping pressure (*Fig. 6B* and *SI Appendix, Table S2*). In particular, the pathogens in polymicrobial sample 3 displayed different shapes (bacillus vs. coccus) and were trapped at different pressure values (*SI Appendix, Fig. S13*). Sample 16 was reported as mixed flora from the microbiology laboratory and was classified as *E. coli* in the microfluidic system. Samples 1 and 6 were misclassified as *Klebsiella*-like in the microfluidic systems. Nevertheless, CHROMagar results suggested that samples 1 and 6 contained only *Klebsiella* spp, suggesting other errors may contribute to the discrepancy. Furthermore, sample 10 reported as *Enterobacter cloacae* in the clinical microbiology laboratory appeared negative in the microfluidic system. Plate counting and MH broth culture also showed sample 10 was negative. The transportation and handling process may potentially introduce error, which may contribute to the discrepancy between the clinical microbiology laboratory and CHROMagar (46, 47). Nevertheless, we do not rule out the possibility that other sources of error may contribute to the discrepancy.

Compared with the results from the clinical microbiology laboratory, the microfluidic system correctly predicted the existence of bacteria for 96% of the samples. The classification approach yields sensitivity of 94.44%, specificity of 57.14%, positive predictive value of 85%, and negative predictive value of 80% (*SI Appendix, Table S3*). Compared with the CHROMagar results obtained at the same site, which avoids transportation and handling errors, the microfluidic system correctly predicted the existence of bacteria for all samples. The classification approach yields sensitivity of 100%, specificity of 83.33%, positive pre-

dictive value of 95%, and negative predictive value of 100% (*SI Appendix, Table S3*). AST was performed in the positive samples. In the control experiments, all trapped bacteria grew exponentially over time. The susceptibility profiles were determined by the normalized growth of control groups and antibiotic groups at 2 h (*Fig. 6C*). For samples with a single species, 7 samples were resistant (samples 6, 9, 12, 15, 18, 22, and 25), and 12 samples were sensitive. Similar growth behaviors were observed in the clinical urine experiment, where the growth rates of resistant samples were similar with and without antibiotic. For polymicrobial sample 3, both bacteria were susceptible to ciprofloxacin. Representative growth curves for susceptible, resistant, and polymicrobial samples are shown in *Fig. 6 C–F*. These results were in 100% agreement with AST by broth dilution.

Discussion

In this study, we demonstrate an adaptable microfluidic system that rapidly determines the existence of bacteria, classifies major classes of bacteria, detects polymicrobial samples, and performs phenotypic AST at the single-cell level. The microfluidic system is capable of trapping pathogens with unknown size. The variability in the dimensions of individual bacteria is captured either by the spatial distribution with multiple pressure regions (i.e., regions of multiple microchannel heights; *Fig. 1*) or adjusting the pressure dynamically (i.e., changing the microchannel heights over time; *Fig. 5*). The adaptable microfluidic approach separates bacteria according to size and shape and identifies samples with multiple pathogens for polymicrobial infection detection. Compared with other AST approaches, it identifies antimicrobial susceptibility directly from clinical samples with unknown pathogens. The microfluidic system is capable of handling clinical samples, such as human urine and blood cultures. Importantly, the assay times for pathogen classification and AST can be as short as 30 min for *E. coli* and 60 min for *S. epidermidis*, which are the approximate doubling times of the bacteria in our experimental condition.

An important consideration of the adaptable microfluidic system is the sample loading process. In particular, the bacteria are driven into the channels by capillary flow, which can be implemented relatively easily and does not require supporting equipment, such as a pump or a pressure source. The microfluidic channel also serves as a physical filter to selectively load bacterial pathogens into the observation area and facilitate single-cell analysis. Nevertheless, the loading process handles a relatively small volume ($\sim 20 \mu\text{L}$) and the loading time depends on the bacterial concentration. For instance, it takes less than 3 min for samples with 10^7 and almost 30 min for samples with a low concentration (e.g., 10^3 – 10^4 cfu/mL). Using the current design protocol, we have demonstrated trapping of samples with bacteria from 5×10^3 to 10^8 cfu/mL (*SI Appendix, Fig. S2C*). This range covers the concentration relevant for UTI diagnostics. To provide accurate quantitation for samples with a large range of concentrations and identify flora contamination, the number of channels should be increased to handle numerous bacteria with a larger volume of each sample in the future. Furthermore, sample interfaces, integrated microfluidic concentrator, and real-time, automated imaging analysis techniques should be incorporated into the microfluidic system to automate the sample loading process and improve the quantification accuracy.

We demonstrate the adaptable microfluidic system using blinded clinical urine samples. One of the goals of our approach is to rapidly determine the presence of bacteria at a clinically relevant concentration. Urine is the most common specimen sent to a clinical microbiology laboratory, yet up to 75% of these specimens are negative. A rapid urine test capable of ruling out or confirming the presence of bacteria at a clinically relevant concentration could improve patient care and clinical laboratory workflow. The system also classifies the bacteria based on the

pathogens were examined using CHROMagar and the microfluidic system. The results were compared with clinical microbiology culture results. These samples were mixed with MH broth at a ratio of 1:1 with and without ciprofloxacin (4 $\mu\text{g}/\text{mL}$). Some samples were stored with glycerol (25% vol/vol) at -80°C and preincubated for 30 min at 37°C before use. The bacterial morphology was visually examined with optical microscopy (20 \times or 40 \times objective).

Reagents. Three different antibiotics, including CIP, AMP, and OXA, were employed in this study. The antibiotics were obtained from Sigma-Aldrich. Human whole blood samples were obtained from the Valley Biomedical Products & Services, Inc. Na heparin was applied as the anticoagulant. Fluorescent dyes, SYTO 9, SYTO 85, and Hoechst 33342, were applied for bacterial staining to calibrate the spatial distributions of different bacteria. The dyes were obtained from Thermo Fisher Scientific. Triton X-100 and IGEPAL CA-630 (Sigma-Aldrich) were applied for blood cell lysis. PDMS (Sylgard 184) for channel fabrication was obtained from Dow Corning.

Microfluidic Device. A multilayer microfluidic device with tunable channels was developed for rapid pathogen classification and AST. The device was fabricated by bonding two PDMS layers (SI Appendix, Fig. S1). The top layer serves as a pneumatic control channel and the channels in the bottom layer trap bacteria for phenotypic culture. The mold for the top layer was fabricated by patterning a SU-8 layer on a silicon wafer. The channel width is 100 μm , and the channel interval is 100 μm . PDMS (at a ratio of 5:1 between prepolymer and cross-linker) was poured on the mold and cured for 1 h at 80°C . The bottom microchannel mold was fabricated on a silicon wafer using a reactive-ion etching (RIE) process with a patterned photoresist layer. The width of the microchannels is 2.0 μm and the height of the microchannels is 1.32 μm . PDMS (at a ratio of 20:1 between prepolymer and cross-linker) was spin coated on the mold for 5 min at 3,000 rpm and cured for 3 h at 65°C . The top control channel layer was peeled off and bonded with the bottom microchannel layer after a 5-min air plasma treatment (PDC-001, Harrick Plasma). The device was incubated for 30 min at 65°C . In addition, the device was bonded with a glass slide after a second air plasma treatment step. Finally, the device was incubated at 80°C for 5 min. In the experiment, the microfluidic device was loaded on a microscope (Leica DMI4000B) with a thermal stage for real-time monitoring of the bacterial growth. The bacteria in the adaptable microfluidic system were captured by a charge-coupled device camera (SensiCam QE, PCO), and the growth of the bacteria was measured using ImageJ.

Single-Cell Antimicrobial Susceptibility Testing. *E. coli*, *S. epidermidis*, and *M. bacteremicum* were cultured in Mueller Hinton broth, nutrient broth, and ATCC medium 1395, respectively. The bacteria were cultured to an optical density at 600 nm (OD_{600}) around 0.2 (measured with Nanodrop 2000;

Thermo Fisher Scientific) and diluted to 5×10^5 cfu/mL following the CLSI guidelines. The concentrations of ciprofloxacin for *E. coli* and *M. bacteremicum* were 4 $\mu\text{g}/\text{mL}$ and 2 $\mu\text{g}/\text{mL}$, respectively. The concentration of oxacillin for *S. epidermidis* was 4 $\mu\text{g}/\text{mL}$. A 20- μL sample was loaded into the inlet of the microchannel. Culture medium was applied to immerse the whole device. The device was then loaded on a microscope (Leica DMI4000B), thermal stage for real-time monitoring (SensiCam QE, PCO) of the bacterial growth. The length of the bacteria occupying the microchannel was measured in ImageJ (<https://imagej.nih.gov/ij/>). In this study, the antibiotic resistance was determined as 50% reduction in the growth rate (or twofold difference in growth rate) in the antibiotic group based on the distribution of the growth rate of single cells. In particular, we define the threshold value based on the standard deviation of single-cell growth and t statistics (two tailed, unpaired). In our calibration experiments, the SDs of the growth rate were below 25% of the mean (in the worst case scenario). In the calculation, the degree of freedom was 8, since at least five bacteria were used in each group. A 50% reduction in growth rate is equivalent to a P value of ~ 0.022 .

To model the polymicrobial infection with different species, *E. coli* (EC137) and *S. epidermidis* were cultured to OD_{600} around 0.2, mixed at a ratio of 1:1, and diluted to a final concentration of 1×10^6 cfu/mL with and without ampicillin (8 $\mu\text{g}/\text{mL}$). To mimic the polymicrobial infection with different strains, *E. coli* (EC137 and EC136) were mixed at a ratio of 10:1 and diluted to a final concentration of 5×10^6 cfu/mL with and without ampicillin.

Bacteria Detection in Human Whole Blood. To detect bacteria in whole blood, *E. coli* (EC137) was spiked into human whole blood. The bacteria were cultured to OD_{600} around 0.2, stained with SYTO 9, washed three times, and spiked into 1 mL human whole blood. The final concentration of the bacteria ranged from 8×10^3 to 8×10^6 cfu/mL. The sample was centrifuged for 3 min at $200 \times g$ to remove the majority of the blood cells. The plasma (~ 400 μL) was transferred to another tube and 1 mL Triton X-100 (1% in MH broth medium) was added to lyse the remaining blood cells and debris. The sample was incubated for 2 min at 37°C and then centrifuged for 3 min at $1,000 \times g$. The supernatant was removed and 1.5 mL IGEPAL CA-630 (1% in MH broth medium) was added. The sample was incubated for 2 min at 37°C and then centrifuged for 3 min at $1,000 \times g$. The supernatant was carefully removed and the 20- μL sample was loaded into the channel.

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